



**PATENT**  
Attorney Docket No.: A-68064-1/RFT/RMS/RMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAHIYAT et al.

Serial No.: 09/574,443

Filed: May 19, 2000

For: Novel Nucleic Acids and Proteins  
with Interferon-Beta Activity

) Examiner: Not Yet Assigned

) Group Art Unit: Not Yet Assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX MISSING PARTS**, Washington, DC 20231

on 2/9/04

Signed: \_\_\_\_\_

*Gail Clark*  
Gail Clark

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
*Filing Date Granted*

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed July 28, 2000, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*, Form PTO-1533);
- 2) Check in the amount of \$1,581.00 covering the filing fee and surcharge for filing the fee on a date later than the filing date (\$355.00/filing fee; \$65.00/surcharge), the fee for a five-month extension of time (\$945.00), and additional claims fee (\$216.00);
- 3) Executed Declaration for Patent Application;
- 4) Declaration Made in Support of Authority of Bassil Dahiyat to Execute Declaration and Assignment in Name of Andrew G. Morton, Deceased, under 37 C.F.R. 142.
- 5) Original Certificate of Death from the State of Colorado;

Serial No.: 09/574,443  
Filed: May 19, 2000



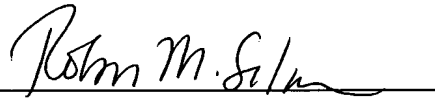
- 6) Consulting Agreement (between Xencor and Dr. Andrew Morton);
- 7) Executed Power of Attorney by Assignee;
- 8) Executed Verified Statement (Declaration) Claiming Small Entity Status;
- 9) Petition for Extension of Time (5 Months); and
- 10) Return postcard.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extensions, or credit any overpayment to Deposit Account 03-1600 (File A-68064-1/RFT/RMS/RMK).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

By

  
Robin M. Silva  
Registration No. 38,304

Suite 3400  
Four Embarcadero Center  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989  
Date: 2/9/01

1032911

## FORMALITIES LETTER



\*OC00000005278014\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/574,443	05/19/2000	Bassil I. Dahiyat	A-68064-1/RFT/RMS/SJR

Flehr Hohbach Test  
Albritton & Herbert LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

Date Mailed: 07/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$476.
  - \$216 for 12 total claims over 20.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1296.**

Adjustment date: 04/04/2001 STEFERRA  
02/15/2001 CNGUYEN 00000051 09574443  
04 FC:203 -216.00 OP

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/04/2001 STEFERRA 00000013 061300 09574443

01 FC:203 108.00 OP  
02 FC:204 27.00 CH 108.00 OP

02/15/2001 CNGUYEN 00000051 09574443  
02 FC:201 353.00 OP  
03 FC:205 65.00 OP  
04 FC:203 216.00 OP  
02/15/2001 CNGUYEN 00000051 09574443  
01 FC:228 945.00 OP